

**Summary of the Decisions Taken at the Meeting
of Accounts, Audit and Risk Committee held on 28 June 2017**

Agenda Item No.	Agenda Item	Decision
6	<p>External Audit Progress Report (verbal)</p> <p>Verbal update by Chief Finance Officer</p>	<p>Resolved</p> <p>(1) That the Verbal update be noted.</p>
7	<p>External Audit Letter to the Committee</p> <p>Report of the Chief Finance Officer</p> <p>Purpose of report</p> <p>The purpose of this report is to inform members of the response to the Ernst Young letter to the Chairman of this Committee.</p> <p>Recommendations</p> <p>The meeting is recommended:</p> <p>1.1 To approve the response to external audit's request for management assurances from Those Charged With Governance in Appendix 1.</p>	<p>Resolved</p> <p>(1) That the response to the external audit's request for management assurance from Those Charged With Governance Annex to the Minutes as set out in the Minute Book) be approved.</p>
8	<p>Internal Audit - Annual Report for 2016/17 and Progress Report 2017/18</p> <p>Report of the Chief Finance Officer</p> <p>Purpose of report</p> <p>To receive PwC's annual report for 2016/17, internal audit reports</p>	<p>Resolved</p> <p>(1) That the Verbal update be noted</p> <p>(2) That the contents of the 2017/18 progress report from CWAS be noted</p>

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	<p>finalised since the last meeting and the CWAS progress report for 2017/18.</p> <p>Recommendations</p> <p>The meeting is recommended to note:</p> <p>1.1 The contents of the 2016/17 annual report from PWC and audit reports finalised since the last meeting.</p> <p>1.2 The contents of the 2017/18 progress report from CWAS.</p>	
<p>9</p>	<p>Corporate Fraud Team Update</p> <p>Report of Chief Finance Officer</p> <p>Purpose of report</p> <p>To provide members of Accounts, Audit and Risk Committee with an update on the joint Corporate Fraud team including an end of year performance summary for 2016-2017 and to present members with the Corporate Fraud Business Plan for 2017-2018.</p> <p>Recommendations</p> <p>The meeting is recommended:</p> <p>1.1 To note the contents of the report</p> <p>1.2 To request that members consider and endorse the Corporate Fraud Business Plan for 2017-2018.</p>	<p>Resolved</p> <p>(1) That the contents of the report be noted</p> <p>(2) That the Corporate Fraud Business Plan for 2017-2018 be endorsed</p>
<p>10</p>	<p>End of year Risk Review 2016-17</p> <p>Report of Director - Strategy and Commissioning</p> <p>Purpose of report</p> <p>To update the Committee on the</p>	<p>Resolved</p> <p>(1) That, having given due consideration, the Full Strategic, Corporate and Partnership Risk Register for 2016/17 be noted.</p>

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	<p>management of Strategic, Corporate and Partnership risks during the final quarter of 2016/17.</p> <p>Recommendations</p> <p>The meeting is recommended:</p> <p>1.1 Review the full Strategic, Corporate and Partnership Risk Register for 2016/17 and identify any issues for further consideration or referral to Executive.</p> <p>1.2 Note the risk exceptions highlighted and the risks continuing into 2017/18.</p>	<p>(2) That the risk exceptions highlighted and proposed actions continuing into 2017/18 be noted.</p>
<p>11</p>	<p>2016/17 Treasury Management Annual Report</p> <p>Report of the Chief Finance Officer</p> <p>Purpose of report</p> <p>This report presents information on treasury management performance and compliance with treasury management policy during 2016/17 as required by the Treasury Management Code of Practice.</p> <p>Recommendations</p> <p>1.1 To note the contents of this report in line with the Treasury Management Strategy.</p>	<p>Resolved</p> <p>(1) That the contents of the report in line with the Treasury Management Strategy be noted.</p>
<p>12</p>	<p>Annual Governance Statement 2016/17</p> <p>Report of the Chief Finance Officer</p> <p>Purpose of report</p> <p>To report the Annual Governance Statement. The Annual Governance Statement will be considered at the same time as the Statement of Accounts for 2016/17.</p>	<p>Resolved</p> <p>(1) That, having given due consideration, the Annual Governance Statement 2016/17 (annex to the Minutes as set out in the Minute Book) be endorsed.</p> <p>(2) That the carry forward of budget underspends from 2016/17 to 2017/18 (annex to</p>

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	<p>Recommendations</p> <p>The meeting is recommended:</p> <p>1.1 The Committee is recommended to consider and endorse the Annual Governance Statement 2016/17 (Appendix 1).</p>	<p>the Minutes as set out in the Minute Book) be approved.</p> <p>(3) That the balances on capital schemes which have slipped in 2016/17 to be carried forward into the 2017/18 capital programme (annex to the Minutes as set out in the Minute Book) be approved.</p> <p>(4) That the outcomes from the informal review of the Statement of Accounts undertaken on 28 June immediately prior to the formal meeting at 6.30pm be noted.</p>
13	<p>Housing Benefit and Council Tax Reduction Risk Based Verification Policy</p> <p>Report of the Chief Finance Officer</p> <p>Purpose of report</p> <p>To seek support from members of this Committee for the introduction of a Risk Based Verification Policy for new claims for Housing Benefit and Council Tax Reduction with effect from 28th June 2017 or from the date that the Benefits work is insourced from Capita and the Academy processing system is introduced whichever is the later.</p> <p>Recommendations</p> <p>The meeting is recommended:</p> <p>1.1 To note the contents of this report.</p> <p>1.2 To recommend to the section 151 officer the adoption of the Risk Based Verification Policy in determining the evidence requirements for the assessment of new Housing</p>	<p>Resolved</p> <p>(1) That the contents of the report be noted</p> <p>(2) That, having given due consideration, the Section 151 Officer be recommended to adopt the Risk based Verification Policy in determining the evidence requirements for the assessment of new Housing Benefit and Council Tax Reduction claims.</p>

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	Benefit and Council Tax Reduction claims.	
14	<p>KPMG NNDR Audit Follow-up</p> <p>Report of the Chief Finance Officer</p> <p>Purpose of report</p> <p>The purpose of this report is to inform members of the findings of the KPMG follow-up review of their NNDR report.</p> <p>Recommendations</p> <p>The meeting is recommended:</p> <p>1.1 To note the report.</p>	<p>Resolved</p> <p>(1) That the report be noted</p>
15	<p>Closedown Update (verbal)</p> <p>Verbal update by Chief Finance Officer</p>	<p>Resolved</p> <p>(1) That the Verbal update be noted.</p>
16	<p>Work Programme</p> <p>To consider and review the Work Programme.</p>	<p>Resolved</p> <p>(1) That the work programme be noted.</p>
18	<p>Corporate Fraud Team Update - Exempt Appendices</p>	<p>Resolved</p> <p>(1) That the exempt appendices be noted.</p>